

# Influence of rub groove on rotordynamics associated with leakage air flow through a labyrinth seal<sup>†</sup>

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# Abstract

An extensive investigation of influence of rub grooves on dynamics and stability of the rotor, which is subjected to aerodynamic forcing associated with the leakage flow through 44 straight-through seals, was performed by using numerical calculations based on the single control-volume method and the perturbation analysis. Three cases of different groove configurations were chosen for the comparative study, e.g., the seal without rub groove (case1), the seal with upstream shifting of the seal tooth in respect to the rub groove (case2) and the seal with location of the seal tooth in the middle station of the rub groove (case3). The orifice contract coefficient adopted in reduction of rotordynamic coefficients was provided by using Computational Fluid Dynamics (CFD). Influence of rub grooves on the leakage flow was obtained in terms of the close-up view of the flow pattern near the seal tooth, leakage flow rate, distributions of the mean pressure and circumferential velocity in cavities. In comparison to case 1, the leakage flow in case 3 is considerably intensified, while which in case 2 is slightly increased. Dynamics and instability of the rotor in all cases was discussed in terms of the cotordynamic coefficients and the logarithmic decrement, respectively. The results disclosed that the aerodynamic forcing in case 2 intensified the destabilization of the rotor system.

Keywords: Straight-through seal; Rub groove; Rotordynamic; Perturbation analysis

#### 1. Introduction

Labyrinth seals closely spaced between the rotor and stator in turbomachinery are widely designed to keep a fine clearance at the order of  $10^{-1}$  mm in a view to suppress the leakage. However, rubbing of tooth tips against the stator often occurs when the engine undergoes the transient operation like starting up and shutting down, creating rub grooves in the stator [1]. The presence of rub grooves would deteriorate the sealing performance or even induce the seal failure. Previous studies [2-4] have shown that leakage flow through labyrinth seals without rub grooves would give a significant influence on the rotor dynamics. Accordingly, an insight understanding of influence of rub grooves on dynamics of the rotor subjected to aerodynamic forcing of the leakage flow is of essential significance.

Various numerical and experimental efforts have been attempted to delineate the impairment of rub grooves to the sealing performance. Zimmermann et al. [5] numerically si-

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mulated leakage flow through the straight-through and stepped seals with fixed-size rub grooves, showing that the air leakage is increased substantially due to the presence of rub grooves. Subsequently, the slight influence of fixed-size rub grooves on leakage flow through the honeycomb seal with increasing the rotor speed and swirl velocity was presented by Yu and Childs [6]. The numerical study of leakage flow through stepped labyrinth seal with rub grooves of different size showed the peaked 94% increase of the leakage flow [7]. Xu et al. [8] numerically predicted the significant influence of rub groove shape on the leakage air flow rate and the flow pattern of the stepped seal. Visualizations of leakage flow through the labyrinth seal by Denecke et al. [9] demonstrated that the flow pattern is substantially changed when the labyrinth teeth enters into the rub groove. Rhode and Adams [10] numerically quantified variation of leakage air flow through the straight-through seal with the axial shifting of the labyrinth tooth in respect to rub grooves; this situation is resulted from different thermal expansion rates of the rotor and stator in the transient operation of the engines [11]. Recently, Childs et al. [12] and Graviss [13] claimed that variation of leakage flow through the liquid laminar-flow annular seal due to rub grooves would have significant influence on the rotordynamic

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coefficients. However, a literature survey reveals that no study was made of influence of rub grooves on dynamics and stability of the rotor subjected to leakage air flow through the labyrinth seal.

The major objective of the present study was directed toward numerical prediction of influence of rub grooves on dynamics and stability of the rotating rotor subjected to aerodynamic forcing of leakage flow through the labyrinth seal. The straight-through seal as shown in Fig.1 (a) was chosen for the comparative study; two cases as shown in Fig.1 (b,c) were evaluated, which are different in the axial shift of the labyrinth tooth in respect to the rub grooves. The mathematical model based on the bulk-flow theory [14] and perturbation analysis [15] was employed in numerical calculations. In addition, the discharge coefficients of leakage through the tooth clearance were determined by using computational fluid dynamics (CFD). A comprehensive comparative analysis was given in terms of flow pattern, leakage rate, rotordynamic coefficients, pressure and circumferential velocity in cavities and the logarithmic decrement. The results demonstrated that the aerodynamic forcing associated with the leakage air flow in case 2 reduced the destabilization speed of the rotating rotor.

#### 2. Mathematical model

In the following part, numerical prediction of the interaction between the aerodynamic forcing induced by the leakage flow and the whirling motion of the rotor was performed by using the single control-volume method [16] and perturbation analysis [14]. Details regarding the mathematical procedures can be found in Dursun [15].

#### 2.1 Calculation of leakage air flow

The steady and axisymmetric state of the leakage flow through the rotor-seal system is established when the rotor concentrically rotates at a constant speed. The fluid flow is governed by the continuity equation, meaning that the mass flow rate  $m_i$  through the orifice between the seal tooth and the rotor is constant as i varies from 1 to N,

$$m_{1} = m_{2} = \dots = m_{i} = \dots = m_{N} = m_{0}$$

$$= C_{0}C_{1}A_{n}\sqrt{\frac{P_{0i-1} - P_{0i}}{RT}}$$
(1)

where  $m_0$  is the steady-state leakage mass flow rate. The leakage air flow is taken to be uniformly distributed and expanded isothermally from cavity (*i*-1) to cavity (*i*). The above equation was employed to calculate the steady pressure distribution  $P_{0i}$  in cavities and the leakage flow rate  $m_0$ . The kinetic energy carry-over coefficient  $C_1$  is referred to Dursun [15]. The second part consisting of the paper body must be edited in double column format. Figures and tables should be located at top or bottom of either column.

The orifice contraction coefficient  $C_0$  adopted in Eq. (1)

Table 1. Dimension of the straight-through seal (mm).

$L_I$	10	$C_r$	0.5
$L_2$	1.5	$R_s$	50
W	0.3	bi	0.6
В	2.5	h	0.3

Table 2. Operating conditions of the straight-through seal.

Inlet pressure (bar)	2,3,4,5,6,7
Outlet pressure (bar)	1
Inlet and outlet temperature (°C):	20
Rotating speed: $\omega$ (rpm)	1000,2000,3000,4000,5000,6000
Inlet circumferential velocity: $V_{in}$ (m/s)	0
Tooth number: N	44
Rotor radius: $R_s$ (mm)	50

Table 3. Comparison of leakage flow determined by CFD and single control-volume method.

$P_1$ (kpa)	P <sub>2</sub> (kpa)	Case 1 (kg/m <sup>2</sup> -s)		
		FLUENT	Single control volume ( $C_0=0.769$ )	
102	101	37.79811 37.57908		
104	101	65.6487	65.40062	
106	101	84.44028	34.44028 84.84037	
108	101	100.3571	100.8518	
$P_1$ (kpa)	P <sub>2</sub> (kpa)	Case 2 (kg/m <sup>2</sup> -s)		
		FLUENT	Single control volume ( $C_0=0.631$ )	
102	101	37.24306	37.24306 37.25810	
104	101	65.15537 64.83465		
106	101	84.39532	84.39532 84.09629	
108	101	99.17635	5 99.98615	
$P_1$ (kpa)	P <sub>2</sub> (kpa)	Case 3 (kg/m <sup>2</sup> -s)		
		FLUENT	Single control volume ( $C_0=0.7764$ )	
102	101	31.04009	30.36816	
104	101	53.15086	52.86782	
106	101	68.08709	68.58948	
108	101	79.91087 81.55461		



Fig. 1. Schematic diagram of the straight-through seals with and without rub grooves: (a) case 1, (b) case 2, (c) case 3.



Fig. 2. Illustration of the elliptical orbit of the rotor.

accounts for the contraction of a jet emanating from a high pressure chamber through a planar orifice. The calculation of  $C_0$  is related to the seal configuration and pressure ratio [17]. As the configuration of the labyrinth seal is fixed,  $C_0$  is only influenced by the pressure ratio. In order to accurately obtain  $C_0$  with varying the pressure ratio for the seal configurations shown in Fig. 1, the commercial package FLUENT 6.1 of computational fluid dynamics (CFD) was used to model the leakage flow through a single tooth clearance with high- and low-pressure chambers at its upstream and downstream sides, respectively. Accordingly, the coefficient  $C_0$  was determined to 0.769, 0.631 and 0.7764 for the seal configurations of case 1, 2 and 3, respectively. The comparison of the leakage flow calculated by using CFD and Eq. (1) using the pre-defined coefficient  $C_0$  was made at various conditions of pressure difference; as shown in Table.3, the favorable agreement of the leakage flow determined by using these two methods shows the reliability of the coefficient  $C_0$  for each case, which will be hereafter employed in reduction of the firstorder continuity and circumferential momentum equations.

When the critical condition appears at the last seal clearance, prediction of leakage flow is determined by,

$$m_0 = C_0 \mu P_{0N-1} \frac{A_n}{\sqrt{\gamma RT}} \left(\frac{2}{\gamma+1}\right)^{\left(\frac{\gamma}{\gamma-1}\right)}$$
(2)

# 2.2 First-order continuity and circumferential momentum equations

In practice, the inherent whirling motion of the rotor as shown in Fig. 2 implies that the leakage variables are actually unsteady and non-axisymmetric. Deviation of the flow variables like pressure, circumferential velocity and shear stress from the steady and axisymmetric state is dealt with by using perturbation analysis. As an example, the dependence of the circumferential velocity on the time t and the azimuthal position  $\theta$  in each cavity is obtained by solving the partial differential continuity and circumferential momentum equations,

$$\frac{\partial}{\partial t}(\rho_i A) + \frac{\rho_i V_i}{R_s} \frac{\partial A}{\partial \theta} + \frac{\rho_i A}{R_s} \frac{\partial V_i}{\partial \theta} + \frac{V_i A}{R_s} \frac{\partial \rho_i}{\partial \theta},$$

$$+\dot{q}_{i+1} - \dot{q}_i = 0$$
(3)

$$\rho_{i}A\frac{\partial V_{i}}{\partial t} + \frac{\rho_{i}V_{i}A}{R_{s}}\frac{\partial V_{i}}{\partial \theta} + (V_{i} - V_{i-1})\dot{q}_{i} = -\frac{A}{R}\frac{\partial P_{i}}{\partial \theta} + (\tau_{ri}a_{r} - \tau_{si}a_{s})L_{1}$$

$$(4)$$

where

$$\begin{cases} A = L_1(B+H), & \text{for case1} \\ A = L_1(B+H) + L_2 \times h, & \text{for case2, case3} \end{cases}$$

The parameters A and H vary in respect to  $(t, \theta)$ . The dimensionless shear stress lengths in Eq. (4) are defined by,

$$\begin{cases} a_r = \frac{2B + L_1}{L_1}, a_s = 1 \quad \text{for casel} \\ a_r = \frac{2B + L_1}{L_1}, a_s = \frac{2h + L_1}{L_1} \quad \text{for case2, case3} \end{cases}$$
(5)

Using Blasius formula, we define the shear stress at the rotor and stator walls as

$$\tau_{si} = 0.03955 \rho_i V_i^2 \left( \frac{|V_i| Dh}{v} \right)^{-0.25} \qquad \text{sgn}(V_i),$$
(7)

where Dh is defined as

$$\begin{cases} Dh = \frac{2(B+C_r)L_1}{B+C_r+L_1}, & \text{for casel} \\ Dh = \frac{2(B+C_r)L_1}{B+C_r+L_1+h}, & \text{for case2, case3} \end{cases}$$
(8)

Further linearization of Eqs. (7) and (8) is accomplished by using perturbation analysis method. The eccentricity of the disturbed rotor is characterized by the perturbation parameter  $\varepsilon = e/C_r$ , where *e* is the deviation displacement of the rotor from the cavity center as shown in Fig. 2. Variation of the radial clearance is thus expanded in the following form,

$$\begin{cases} H = C_r + \varepsilon H_1(\theta, t) + \cdots, & \text{for case1, case2} \\ H = (C_r + h) + \varepsilon H_1(\theta, t) + \cdots, & \text{for case3} \end{cases}$$
(9)

Correspondingly, the flow variables are expanded as

$$P_{i} = P_{0i} + \varepsilon P_{1i}(t,\theta) + \cdots,$$

$$V_{i} = V_{0i} + \varepsilon V_{1i}(t,\theta) + \cdots,$$

$$\dot{q}_{i} = \dot{q}_{0i} + \varepsilon \dot{q}_{1i}(t,\theta) + \cdots,$$

$$\tau_{ri} = \tau_{r0i} + \varepsilon \tau_{r1i}(t,\theta) + \cdots,$$

$$\tau_{si} = \tau_{s0i} + \varepsilon \tau_{s1i}(t,\theta) + \cdots.$$
(10)

Hence, substituting these linearized variables into Eqs. (3)

and (4) and neglecting the terms of order  $\varepsilon^2$  and the higher, the first-order continuity equation for the *i*th cavity is reduced as

$$X_{10}\left(\frac{\partial P_{1i}}{\partial t} + \frac{V_{0i}}{R_s}\frac{\partial P_{1i}}{\partial \theta} + \frac{P_{0i}}{R_s}\frac{\partial V_{1i}}{\partial \theta}\right) + X_{20i}P_{1i-1} + X_{30i}P_{1i} + X_{40i}P_{1i+1} = X_{50i}\left(\frac{\partial H_1}{\partial t} + \frac{V_{0i}}{R_s}\frac{\partial H_1}{\partial \theta}\right)$$

$$(11)$$

$$Y_{10i}\left(\frac{\partial V_{1i}}{\partial t} + \frac{V_{0i}}{R_s}\frac{\partial V_{1i}}{\partial \theta}\right) + \frac{A_n}{R_s}\frac{\partial P_{1i}}{\partial \theta} + Y_{20i}V_{1i} - q_0V_{1i-1},$$
(12)  
+ $Y_{30i}P_{1i-1} + Y_{40i}P_{1i} = Y_{50i}H_1$ 

where  $X_{10}, X_{20i}, X_{30i}, X_{40i}, X_{50i}$  and  $Y_{10i}, Y_{20i}, Y_{30i}, Y_{40i}, Y_{50i}$  are functions of the steady pressure and velocity in the *i*th cavity. Subsequently, periodical elliptical orbit (Fig.2) of the rotor center is assumed [15] to solve the linearized first-order continuity Eq. (11) and circumferential momentum Eq. (12). Accordingly, the set of four equations is rearranged in the matrix form,

$$\begin{bmatrix} C_i^{-1} \end{bmatrix} \left\{ \hat{Y}_{i-1} \right\} + \begin{bmatrix} C_i^0 \end{bmatrix} \left\{ \hat{Y}_i \right\} + \begin{bmatrix} C_i^{+1} \end{bmatrix} \left\{ \hat{Y}_{i+1} \right\} = \left\{ \hat{A}_i \right\},$$
(13)

where

$$\{\hat{Y}_i\} = 2 \Big[ P_i^+ / (a-b), P_i^- / (a+b), V_i^+ / (a-b), V_i^- / (a+b) \Big]^T$$

$$\{\hat{A}_i\} = \Big[ -jG_{5i} \Big( \frac{V_{0i}}{R_s} + \Omega \Big), -jG_{5i} \Big( \frac{V_{0i}}{R_s} - \Omega \Big), -X_{5i}, -X_{5i} \Big]^T .$$

In Eq. (13),  $\begin{bmatrix} C_i^{-1} \end{bmatrix}, \begin{bmatrix} C_i^0 \end{bmatrix}$  and  $\begin{bmatrix} C_i^{+1} \end{bmatrix}$  are the four-order matrixes of coefficients [15], while  $P_i^+, P_i^-, V_i^+$  and  $V_i^-$  are constant complex coefficients.

Close inspection of Eq. (13) shows that the four linearized equations are associated with each cavity. For a labyrinth seal with N cavities, this results in a  $4(N-1) \times 4(N-1)$  banded system of linear equations set [15], determining the 4(N-1) unknowns  $P_i^+, P_i^-, V_i^+$  and  $V_i^-$  ( $i = 1, 2, \dots, N-1$ ) to obtain the unsteady pressure and shear stress. Subsequently, integrating the unsteady pressure and shear stress in the circumferential direction determines the aerodynamic forcing on the rotor in x and y directions,

$$F = F_{x} + jF_{y} = -\varepsilon\pi R_{s}L\sum_{i=1}^{N-1}\int_{0}^{2\pi} \left(P_{1i}e^{i\theta} - ja_{r}\tau_{r1i}e^{j\theta}\right)d\theta.$$
(14)

Based on the force-motion model defined as,

$$-\begin{bmatrix} F_x \\ F_y \end{bmatrix} = \begin{bmatrix} K_{xx} & K_{xy} \\ -K_{xy} & K_{yy} \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} C_{xx} & C_{xy} \\ -C_{xy} & C_{yy} \end{bmatrix} \begin{bmatrix} x' \\ y' \end{bmatrix},$$
(15)

the rotordynamic coefficients characterizing the influence of the aerodynamic forcing exerted by leakage flow through the labyrinth seal with rub grooves are calculated as,

$$K_{xx} = K_{yy} = \frac{1}{2} \operatorname{Re} \left\{ J^{+} + J^{-} \right\},$$
  

$$K_{xy} = K_{yx} = -\frac{1}{2} \operatorname{Im} \left\{ J^{+} + J^{-} \right\},$$
  

$$C_{xz} = C_{yy} = \frac{1}{2\Omega} \operatorname{Im} \left\{ J^{+} - J^{-} \right\},$$
  

$$C_{xy} = C_{yx} = \frac{1}{2\Omega} \operatorname{Re} \left\{ J^{+} - J^{-} \right\},$$
(16)

where

$$\begin{cases} J^{+} = \pi R_{s} L_{i=1}^{N-1} \left[ \bar{\hat{Y}}_{2i} \left( 1 - ja_{r} \frac{\tau_{r0i}}{P_{0i}} \right) - ja_{r} \left( \frac{1.75\tau_{r0i}}{V_{0i} - \omega R_{s}} \bar{\hat{Y}}_{4i} + \frac{0.125\tau_{r0i} D_{b0}}{\left( B + C_{r} \right)^{2}} \right) \right] \\ J^{-} = \pi R_{s} L_{i=1}^{N-1} \left[ \bar{\hat{Y}}_{1i} \left( 1 - ja_{r} \frac{\tau_{r0i}}{P_{0i}} \right) - ja_{r} \left( \frac{1.75\tau_{r0i}}{V_{0i} - \omega R_{s}} \bar{\hat{Y}}_{3i} + \frac{0.125\tau_{r0i} D_{b0}}{\left( B + C_{r} \right)^{2}} \right) \right] \\ \text{for casel, case2} \\ \begin{cases} J^{+} = \pi R_{s} L_{i=1}^{N-1} \left[ \bar{\hat{Y}}_{2i} \left( 1 - ja_{r} \frac{\tau_{r0i}}{P_{0i}} \right) - ja_{r} \left( \frac{1.75\tau_{r0i}}{V_{0i} - \omega R_{s}} \bar{\hat{Y}}_{4i} + \frac{0.125\tau_{r0i} D_{b0}}{\left( B + C_{r} + h \right)^{2}} \right) \right] \\ \\ J^{-} = \pi R_{s} L_{i=1}^{N-1} \left[ \bar{\hat{Y}}_{1i} \left( 1 - ja_{r} \frac{\tau_{r0i}}{P_{0i}} \right) - ja_{r} \left( \frac{1.75\tau_{r0i}}{V_{0i} - \omega R_{s}} \bar{\hat{Y}}_{3i} + \frac{0.125\tau_{r0i} D_{b0}}{\left( B + C_{r} + h \right)^{2}} \right) \right] \end{cases}$$

for case3

# 2.3 Stability of the rotor

The stabilization and response of the rotor system subject to the aerodynamic forcing is obtained by taking the rotordynamic coefficients into the FEA dynamics equation,

$$[M]\{\ddot{Z}\} + [C]\{\dot{Z}\} + [K]\{Z\} = \{R(\ddot{Z}, \dot{Z}, \theta, t)\}.$$
 (17)

The eigenvalues of Eq. (17) are formulated as  $\omega_{ci} + i\delta_i$ , where  $\omega_{ci}$  and  $\delta_i$  are the ith order critical speed and the ith order logarithmic decrement of the rotor vibration, respectively.

#### 3. Results and discussion

To gain comprehensive understanding of influence of rub grooves on dynamics of the rotor subjected to aerodynamic forcing of the leakage flow, a comparative study was made of flow pattern, leakage rate, rotordynamic coefficients and the logarithmic decrement. Three configurations of the straight-through seal (Fig. 1) with 44 seal teeth on the rotor were chosen for investigation by varying the pressure ratio from 2 to 7 at the constant rotation speed  $\omega = 3000$  rpm and varying the rotation speed from 1000 rpm to 6000 rpm at the constant pressure ratio 7.

Configuration of the rectangular rub-grooves in [8] was employed here for comparison; the ratio between the rubgroove depth (h) and the clearance ( $C_r$ ) is 0.6, and the rubgroove width ( $L_2$ ) is 5 times of the clearance ( $C_r$ ). As shown in Fig. 1, the axial positions of tooth were chosen as the center and left of the rectangular rub-groove for comparison. Detailed information of the seal configuration and the working condition was shown in Table 1 and 2, respectively.

A close-up view of the flow pattern (Fig. 3) near the tooth tip was made of influence of rub grooves on the leakage flow at n=7 and  $\omega = 3000 rpm$ . Numerical simulation of the axisymmetric air flow through the labyrinth seals was performed by solving the Navier-Stokes equations and  $k - \varepsilon$  turbulence model. The coupling between pressure and velocity was decoupled by using the SIMPLE algorithm [18, 19]. The total computational cells of one seal were  $1.4 \times 10^4$ ,  $1.5 \times 10^4$  and  $1.5 \times 10^4$  for case 1, case 2 and case 3, respectively. The maximum cell-based residual 10<sup>-5</sup> was used as the convergence criteria. Sensitivity of the simulation results to the grid density was checked by repeating calculations with different number of cells. The grids in the present work were found to yield satisfactory results. In case 1, the high-speed jet flow at the clearance is restricted by the tooth and the stator wall. As shown in Fig. 3(a), the deflection of the jet flow through the clearance results in vena contraction and decreases the effective flow area due to the separation bubble on the top of the tooth. Accordingly, a part of the pressure head is transformed into local kinetic energy, which is dissipated into heat in the downstream cavity. In case 2, the jet flow through the clearance is slightly deflected into the rub groove, inducing a large recirculation zone near the leading edge of the groove and correspondingly reducing the extent of the vena contract. When the seal tooth is located at the middle station of the groove (Fig. 3(c)), the flow is deflected into the rub groove at high speed, giving rise to a considerable increase of the leakage flow. The influence of rub grooves on the leakage rate at various pressure ratios is shown in Fig. 4. For all cases, the leakage rate is almost linearly increased with increasing the pressure ratio from 2 to 7. In comparison to case 1, slight intensification of the leakage flow in case 2 is observed; the leakage flow increases 0.002 kg/s at n=7. However, a considerable increase of the leakage flow in case 3 is prominent as shown in Fig. 4; deviation of the leakage flow from that in case 1 is enhanced with increasing the pressure ratio, reaching the maximum increase of the leakage flow 0.02 kg/s at n=7.

Prior to investigating the influence of rub grooves on dynamics of the rotor, the single-control-volume method was verified by comparing the calculating results with the experimental results [20]. The straight-through seal (Fig. 1(a)) was chosen and the comparison was made of four different inlet pressure conditions. Comparison of the cross-coupled stiffness coefficients between the calculations and the experiment measurements at various inlet pressures and rotating speeds were shown in Fig. 5. As shown in Fig. 5, with increasing inlet pressure, the cross-coupled stiffness coefficient increases at various rotating speeds. The calculated results are in good consistency to the experimental measurement.

In a view to see influence of rub grooves on dynamics of the rotor subjected to aerodynamic forcing associated with the leakage flow, we calculated the rotordynamic coefficients at



Fig. 3. Close-up view of flow pattern near the seal tooth: (a) case 1, (b) case 2, (c) case 3.



Fig. 4. Variation of leakage flow in respect to the pressure ratio.



Fig. 5. Comparison of experimental and numerical results of crossstiffness coefficients.

different pressure ratios ( $n=2\sim7$ ) while the rotation speed of the rotor is kept at  $\omega = 3000$  rpm and the inlet pre-swirl velocity is set to  $V_{in}=0$  m/s. The variation of the direct stiffness and cross-coupled coefficients in respect to the pressure ratio is shown in Fig. 6. For all cases, the direct stiffness coefficient  $K_{xx}$  is linearly proportional to the pressure ratio. On going from n=2 to 7, the deviation of  $K_{xx}$  between case1 and case2 is considerably increased from 1.1 kN/m to 3.2 kN/m, while that between case 3 and case 1 is slightly increased from 0.6 kN/m to 0.8 kN/m. These discrepancies of the direct stiffness coefficient indicate the prominent difference in the first critical speed, which is usually estimated as  $\sqrt{K_r/M_s}$ ; here, the



Fig. 6. Variation of stiffness coefficients with increasing the pressure ratio at  $\omega = 3000$  rpm: (a) case 1, (b) case 2, (c) case 3.

parameters  $K_r$  and  $M_s$  are the modal stiffness and mass of the rotor system, respectively. In computation, the direct stiffness and damping coefficient of the journal bearings are positive. Of noted is that the direct stiffness  $K_{xx}$  induced by the leakage flow corresponds to the primary contribution of the aerodynamic forcing to the modal stiffness of the rotor system. In case 2, the prominent decrease of  $K_{xx}$  with increasing the pressure ratio gives rise to deformation of the flexible rotor, which is closely related to downshift variation of the first critical speed. In addition, as shown in Fig. 6(b) the cross-coupled stiffness coefficients of all cases gradually increases with increasing the pressure ratio. The difference of the crosscoupled coefficients  $K_{xy}$  between case 1 and case 3 significantly increases from 0.38 kN/m at n=2 to 1.7 kN/m at n=7, while that between case 1 and case2 is not obviously discerned.

To further understand influence of rub grooves on stability of the rotor-seal system, the direct damping coefficient  $C_{\rm rr}$ and cross-coupled damping coefficient  $C_{xy}$  were calculated and displayed in Fig. 7. It is obvious in Fig.7 that the coefficients  $C_{xx}$  and  $C_{xy}$  are also linearly proportional to the pressure ratio for all cases. With increasing the pressure ratio, the difference of  $C_{xx}$  between case 1 and case 2 is gradually increased from 2.1 N-s/m at n=2 to 6 N-s/m at n=7, while which between case 1 and case 3 is low to be 0.8 N-s/m at n=2and 2.6 N-s/m at n=7. Inspecting the coefficients  $C_{rr}$  and  $C_{xy}$  at different pressure ratios discloses the state  $C_{xx}|_{case1} < C_{xx}|_{case3} < C_{xx}|_{case2}$  and  $C_{xy}|_{case3} < C_{xy}|_{case1} < C_{xy}|_{case2}$ . With increasing the pressure ratio, the difference of  $C_{xy}|_{becase2}$ . tween case 1 and case 3 is significantly increased from 3.7 Ns/m at n=2 to 12 N-s/m at n=7, while which between case 1 and case 2 is increased from 2.3 N-s/m at n=2 and 5.7 N-s/m at n=7. The above-mentioned variation of the rotordynamic coefficients reflects the remarkable influence of the rub grooves on dynamics of the rotor.

Prior to conducting further analysis of the stability of the rotor-seal system, we numerically determined distributions of the mean pressure and the circumferential velocity in all cavities for three cases at the condition n=7 and  $V_{in} = 0$  m/s. Inspection of Fig. 8 shows that the mean pressure linearly de-



Fig. 7. Variation of damping coefficients with increasing the pressure ratio at  $\omega = 3000$  rpm.



Fig. 8. Distributions of the mean pressure and circumferential velocity in cavities at n=7 and  $V_{in} = 0$  m/s: (a) case 1, (b) case 2, (c) case 3.

creases from the first cavity to the 30<sup>th</sup> cavity for all three cases, and the descending amplitude of the mean pressure is intensified after the  $30^{th}$  cavity. The presence of rub grooves and the different location of the seal tooth in respect to the rub groove has a slight influence on the mean pressure in cavities. However, distribution of the circumferential velocity in cavities is significant different for all cases. As shown in Fig.8, the circumferential velocity is parabolically increased for all cases. In addition, the slight difference of the circumferential velocity between case 1 and case 2 is found for each cavity, while which between case 1 and case 3 is significantly large. The difference of the circumferential velocity between case 1 and case 3 gradually increases along the axial direction and achieves the largest magnitude 0.8 m/s. The presence of the circumferential velocity in cavity would induce the nonuniform pressure distribution in the circumferential direction of the cavity, which essentially produces the aerodynamic forcing on the rotating rotor. Accordingly, the abovementioned discrepancy of the circumferential velocity among three cases gives rise to the different rotordynamic coefficients shown in Fig. 6 and Fig. 7. In addition, substituting the mass flow rate and the pressure in each cavity at n=7 into Eq. (1),  $C_0$  was determined to 0.77, 0.64 and 0.78 for case1, case2



Fig. 9. Variation of stiffness coefficients with increasing the rotating speed at n=7 and  $V_{in}=0$  m/s: (a) case 1, (b) case 2, (c) case 3.



Fig. 10. Variation of damping coefficients with increasing the rotating speed at n=7 and  $V_{in}=0$  m/s: (a) case 1, (b) case 2, (c) case 3.



Fig. 11. Variation of logarithmic decrement with increasing the rotating speed for three cases.

## and case3, respectively.

Now we turn to see influence of rub grooves on stability of the rotating rotor system. Recall that the rotating speed of the rotor has very slight influence on the leakage mass flow rate [6], the stabilization analysis of the rotating rotor with the increase of the rotating speed  $\omega$  from 1000 rpm to 6000 rpm at *n*=7 and  $V_{in}$ =0 m/s was performed. Influence of rub grooves on the rotordynamic coefficients is shown in Fig. 9 and Fig. 10. As shown in Fig. 9, the parameter  $K_{xx}$  decreases with increasing  $\omega$  for all cases. The difference of  $K_{xx}$  between case 1 and case 2 is gradually increased with increasing  $\omega$ , achieving the maximum deviation 13.3kN/m at  $\omega = 6000$ rpm. Similar variation of the gap between  $K_{xx}$  of case 1 and case 3 is shown in Fig. 9, reaching 8.2 kN/m at  $\omega = 6000$  rpm. In addition, the parameter  $K_{xy}$  of all three cases and the gap between  $K_{xy}$  of different cases are increased with increasing  $\omega$ ; the magnitude gap  $|K_{xy_{case1}} - K_{xy_{case3}}|$  is significantly increased with increasing  $\omega$ , reaching the peaked magnitude 3.1 kN/m at  $\omega = 6000$  rpm. As shown in Fig. 10, the parameters  $C_{xx}$  and  $C_{xy}$  linearly increase in respect to  $\omega$ . Furthermore, the magnitude gaps  $|C_{xx\_case1} - C_{xx\_case2}|$  and  $|C_{xx\_case1} - C_{xx\_case3}|$  are peaked to 9 N-s/m and 3 N-s/m at  $\omega = 6000 rpm$ , respectively. Subsequently, numerical prediction of the destabilization of the rotor, which is resulted from the leakage through the seals of three cases, was given in terms of the logarithmic decrement  $\delta_1$  of the rotor vibration. Destabilization of the rotor emerges when  $\delta_1$  is less than 0. As displayed in Fig. 11, the logarithmic decrements of all three cases at n=7 are decreased with increasing the rotating speed. For case 1 and case 3, the rotor system is kept at the stable operation, while the destabilization of the rotor is found at  $\omega = 5800$  rpm for case2. In addition, according to the definition of the whirl frequency ratio  $(\Omega_f = K_{rv} / (C_{rr} \cdot \Omega))$ where  $\Omega$  is rotor whirling speed, the stability of the rotor decreased with increasing  $\omega$ . Furthermore,  $\Omega_f|_{case^2} = 0.95 >$  $\Omega_f \Big|_{case1} = 0.83 > \Omega_f \Big|_{case3} = 0.61$  was obtained at  $\omega = 6000$  rpm. This demonstrates that the aerodynamic forcing in case 2 intensifies the destabilization of the rotor system.

# 4. Conclusions

Influence of rub grooves on dynamics and stability of the rotor subjected to aerodynamic forcing associated with leakage flow through 44 straight-through seals was quantitatively and qualitatively studied by using numerical calculations based on the single control-volume method and the perturbation analysis. Three cases, e.g. the seal without rub grooves (case1), the seal with upstream shifting of the seal tooth in respect to the rub groove (case2) and the seal with location of the seal tooth in the middle station of the rub groove (case3), were chosen for the comparative study. The discharge coefficient at the orifice for three cases was numerically determined by using CFD. Influence of rub grooves on the leakage flow was analyzed in terms of the close-up view of the flow pattern near the seal tooth, leakage flow rate, distributions of the mean pressure and circumferential velocity in cavities. In comparison to case 1, the presence of the rub groove in case 3 significantly increases the leakage flow rate, while a weak increase is found in case 2. Numerical results of the rotordynamic coefficients for all systems disclosed the considerable influence of rub grooves on dynamics of the rotor. The significant discrepancy of rotordynamic coefficients in cases with rub grooves from the normal case (case 1) was revealed by varying the pressure ratio and the rotating speed. In addition, influence of rub grooves on stability of the rotor system was evaluated by

determining the logarithmic decrement; the results demonstrated that the aerodynamic forcing in case 2 intensifies the destabilization of the rotor system.

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#### Nomenclature-

A	:	Unsteady cross-sectional area of the cavity
		[m <sup>2</sup> ]
$A_n$	:	Steady annular flow area [m <sup>2</sup> ]
В	:	Tooth height [m]
[C]	:	Damping matrix
$C_0$	:	Orifice contraction coefficient
$C_1$	:	Kinetic energy carry-over coefficient
$C_r$	:	Steady radial seal clearance [m]
$C_{xx}, C_{yy}$	:	Damping coefficients [N-s/m]
$C_{xv}, C_{vx}$	:	Cross-couple damping coefficients [N-s/m]
$D_{h0}$	:	Steady hydraulic diameter of the cross-sectional
		area of the cavity [m]
F	:	Total air reaction force [N]
$F_{x}, F_{y}$	:	Annular seal reaction force components [N]
H	:	Unsteady height of the cavity [m]
h	:	Height of rub groove [m]
$H_1(\theta,t)$	:	Perturbation radial clearance [m]
j	:	Imaginary number
$K_{\rm rr}, K_{\rm vv}$	:	Direct stiffness coefficients [N/m]
$K_{xv}, K_{vx}$	:	Cross-couple stiffness coefficients [N/m]
[ <i>K</i> ]	:	Stiffness matrix
$L_1$	:	Cavity length [m]
$L_2$	:	Length of rub groove [m]
m	:	Mass flow rate [kg/s]
[M]	:	Mass matrix
N	:	Tooth number
$P_{0i}, P_{i}$	:	Steady and unsteady pressures in the <i>i</i> th cavity
		[Pa]
$P_{1i}(\theta,t)$	:	Perturbation pressure in the <i>i</i> th cavity [Pa]
$\dot{q}_{0i}, \dot{q}_i$	:	Steady and unsteady leakage flow rate per unit
		length in the <i>i</i> th tooth [kg/s-m]
$\dot{q}_{1i}(t,\theta)$	:	Perturbation leakage flow rate per unit length
		[kg/s-m]
R	:	Gas constant [J/kg-K]
$R_s$	:	Rotor radius [m]
$\left\{ R\left( \ddot{Z},\dot{Z},\dot{Z},\dot{Z},\dot{Z},\dot{Z},\dot{Z},\dot{Z},\dot$	$\theta, t$	) : Load tensor
T Ì	:	Gas temperature in the labyrinth seal [K]
U	:	Sliding speed of the rotor surface [rpm]
$V_{0i}, V_i$	:	Steady and unsteady circumferential velocities in
		the <i>i</i> th cavity [m/s]
$V_{1i}(t,\theta)$	:	Perturbation pressure at the <i>i</i> th cavity [m/s]
V <sub>in</sub>	:	Inlet pre-swirl velocity [m/s]
(x, y)	:	x and y coordinates of rotor whirling motion

- : Coordinate along the circumference of the cavity Х Y
  - Coordinate across the length of the cavity
- $\{Z\}$ Displacement tensor :
- ξŻ Velocity tensor : Ż
  - Acceleration tensor

#### Greek symbols

μ	:	Dynamic viscosity of fluid [kg/m-s]		
$\tau_{r0}$	$\tau_{ri}$	Steady and Unsteady shear stress at the rotor wall $[N/m^2]$		
$\tau_{r1i}$	$(\theta,t)$	Perturbation shear stresses at the rotor wall [N/m <sup>2</sup> ]		
$\tau_{s0}$	$\tau_{si}$	Steady and unsteady shear stresses at the stator wall $[N/m^2]$		
$ au_{s1i}$	$(t,\theta)$	Perturbation shear stresses at the stator wall $[N/m^2]$		
ε	:	Eccentricity ratio		
$\rho_i$	:	Gas density of the <i>i</i> th cavity [kg/m <sup>3</sup> ]		
$\theta$	:	Azimuthal position		
$\alpha_r$	:	Dimensionless shear stress length at the rotor wall		
$\alpha_{s}$	:	Dimensionless shear stress length at the stator wall		
ω	:	Rotor rotating speed [ <i>rpm</i> ]		
$\omega_{d}$	:	Destabilization rotating speed [rpm]		
$\omega_{ci}$	:	The <i>i</i> th order critical speed [ <i>rpm</i> ]		
Ω	:	Rotor whirling speed [rpm]		
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